

Background

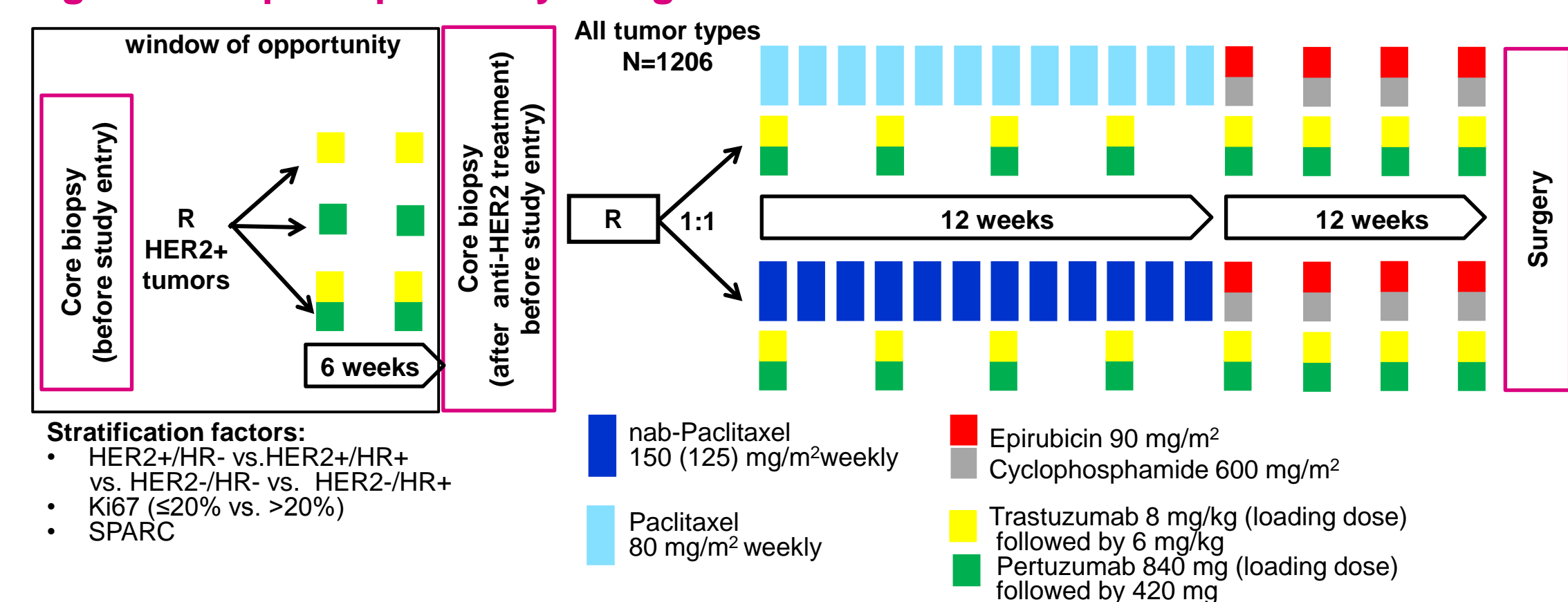
The neoadjuvant phase III GeparSepto study¹ showed that substituting nab-paclitaxel (nab-Pac) for standard solvent-based paclitaxel (Pac) significantly improved the pathological complete response (pCR) rate in patients receiving a sequential regimen of taxane, epirubicin and cyclophosphamide as neoadjuvant treatment for high-risk primary breast cancer. A dual HER2 targeted regimen of pertuzumab and trastuzumab achieved impressive rates of pCR in two phase II neoadjuvant studies, NeoSphere² and TRYPHAENA³. The present analysis focuses on efficacy and safety data of the HER2-positive patients from GeparSepto study treated with a dual HER2-blockade in comparison to the HER2-negative cohort.

Patients and Methods

Trial design
GeparSepto (NCT01583426) was a multicentre, prospective, randomised, open-label, phase III trial comparing nab-paclitaxel and standard paclitaxel as part of a neoadjuvant regimen. The study design is shown in Figure 1.

Statistical consideration
Efficacy parameters were reported in subgroups with two-sided 95% confidence intervals (CI) and compared between treatment arms using continuity-corrected χ^2 test. Multivariable analysis was performed to identify predictive factors for pCR in trastuzumab-pertuzumab-treated HER2-positive breast cancer. Safety analysis of HER2+ vs. HER2-negative group was performed using the two-sided Fisher's test. Patients with missing response data were considered as having no response.

Figure 1: GeparSepto study design



Primary objectives of the HER2+ subanalysis

- To assess the pCR defined as ypT0 ypN0

Secondary objectives of the HER2+ subanalysis:

- To assess the pCR rates by other definitions ;
- Safety in the HER2+ vs. HER2-negative cohort

Results

Table 1. Baseline characteristics of HER2+ & HER2- patients

| Parameter | Category | HER2-positive | HER2-negative |
|----------------------------|------------------------|----------------------|----------------------|
| | | N=396/1206 (32.8%) | N=810/1206 (67.2%) |
| | | Overall N=396 | Overall N=810 |
| Age, years | <30 | 12 (3.0) | 25 (3.1) |
| | 30-<40 | 61 (15.4) | 112 (13.8) |
| | 40-<50 | 133 (33.6) | 298 (36.8) |
| | 50-<60 | 102 (25.8) | 219 (27.0) |
| | 60-<70 | 74 (18.7) | 119 (14.7) |
| | 70+ | 14 (3.5) | 37 (4.6) |
| | cT | cT1-3 | 368 (92.9) |
| cT4a-c | | 13 (3.3) | 21 (2.6) |
| cT4d | | 15 (3.8) | 27 (3.3) |
| Missing | | 2 | 2 |
| Sentinel node biopsy | | | |
| | None | 172 (43.4) | 363 (44.8) |
| | Negative | 132 (33.3) | 291 (35.9) |
| | Positive | 90 (22.7) | 156 (19.3) |
| | Not detected | 2 (0.5) | 0 (0.0) |
| ER/PgR (central pathology) | both ER, PgR negative | 107 (27.0) | 276 (34.1) |
| | ER and/or PgR positive | 289 (73.0) | 534 (65.9) |
| Tumor grading | G1 | 5 (1.3) | 20 (2.5) |
| | G2 | 192 (48.5) | 332 (41.0) |
| | G3 | 199 (50.3) | 458 (56.5) |
| Ki67 (central pathology) | $\leq 20\%$ | 130 (32.8) | 243 (30.0) |
| | $> 20\%$ | 266 (67.2) | 567 (70.0) |
| SPARC | negative (IRS 0-5) | 362 (91.4) | 653 (80.6) |
| | positive (IRS 6-12) | 34 (8.6) | 157 (19.4) |

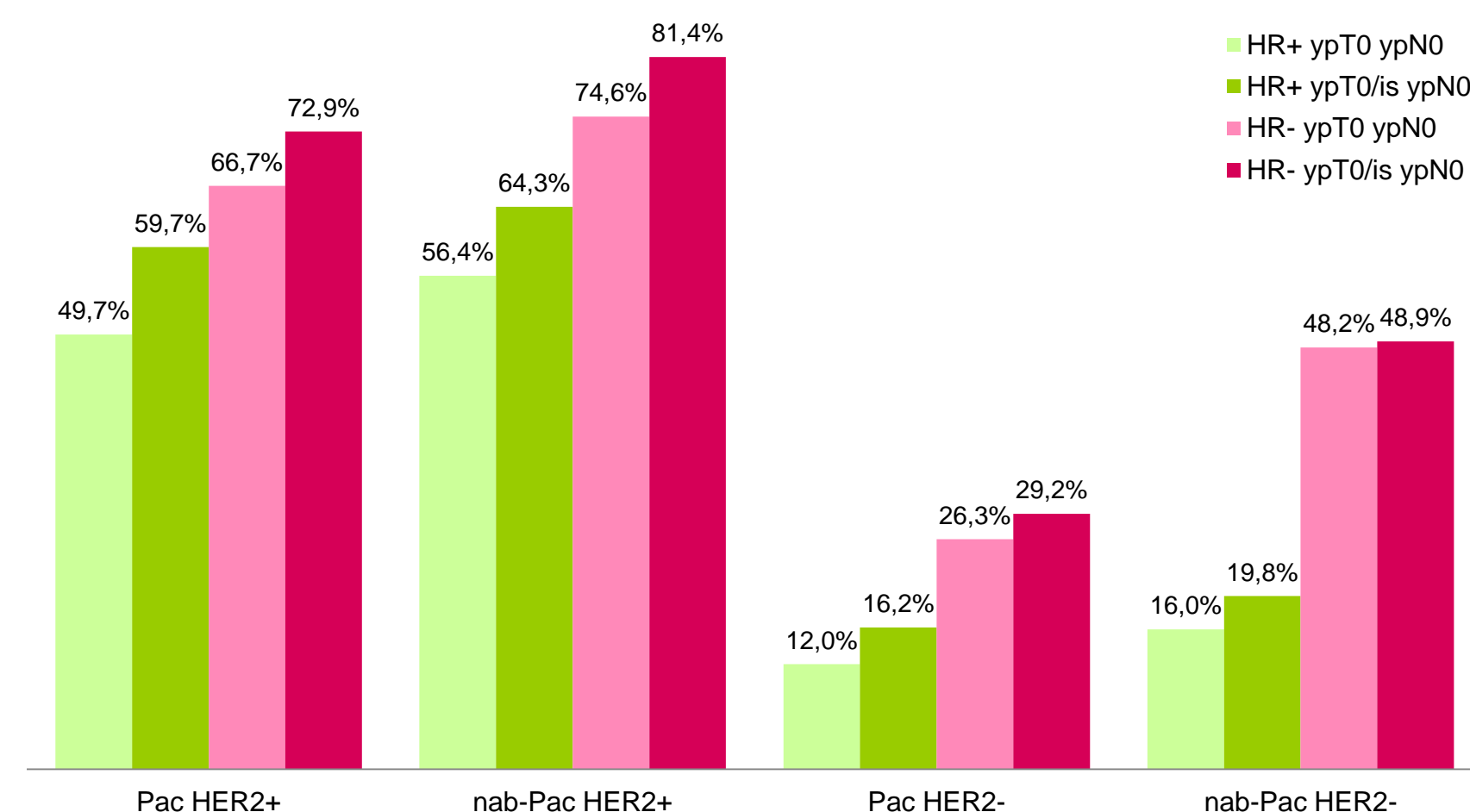
Table 2. Adverse events (AE) in HER2+ & HER2- patients

| AE | Grade | HER2+ safety set | HER2- safety set | p-value (HER2+ vs. HER2-) |
|---|---------|------------------|------------------|---------------------------|
| | | Overall N (%) | Overall N (%) | |
| Any AEs | Any | 396 (100) | 809 (99.9) | 1.00 |
| | 3-4 | 338 (85.4) | 632 (78.0) | 0.003 |
| Hematological AEs | Any | 390 (98.5) | 792 (97.8) | 0.513 |
| | 3-4 | 293 (74.0) | 563 (69.5) | 0.120 |
| Anemia | Any | 373 (94.2) | 715 (88.5) | 0.001 |
| | 3-4 | 10 (2.5) | 7 (0.9) | 0.034 |
| | Missing | 0 | 2 | |
| Febrile neutropenia | Any | 25 (6.3) | 27 (3.3) | 0.023 |
| Thrombopenia | Any | 113 (28.5) | 176 (21.8) | 0.012 |
| | 3-4 | 4 (1.0) | 4 (0.5) | 0.451 |
| | Missing | 0 | 2 | |
| Non-hematological AE | Any | 396 (100) | 809 (99.9) | 1.00 |
| Increased AP | Any | 102 (25.8) | 164 (20.3) | 0.038 |
| | 3-4 | 1 (0.3) | 1 (0.1) | 0.550 |
| | Missing | 0 | 2 | |
| Increased ALAT | Any | 246 (62.1) | 431 (53.3) | 0.004 |
| | 3-4 | 11 (2.8) | 16 (2.0) | 0.410 |
| | Missing | 0 | 2 | |
| Mucositis/stomatitis/esophagitis | Any | 246 (62.1) | 349 (43.1) | <0.001 |
| | 3-4 | 8 (2.0) | 4 (0.5) | 0.025 |
| | Missing | 0 | 2 | |
| Diarrhea | Any | 319 (80.6) | 255 (31.5) | <0.001 |
| | 3-4 | 30 (7.6) | 7 (0.9) | <0.001 |
| | Missing | 0 | 2 | |
| Anorexia | Any | 92 (23.2) | 123 (15.2) | <0.001 |
| | 3-4 | 4 (1.0) | 1 (0.1) | 0.043 |
| | Missing | 0 | 2 | |
| Maculo-papular rash | Any | 165 (41.7) | 180 (22.2) | <0.001 |
| | 3-4 | 7 (1.8) | 4 (0.5) | 0.047 |
| | Missing | 0 | 2 | |
| Dyspnea | Any | 71 (17.9) | 137 (16.9) | 0.685 |
| | 3-4 | 10 (2.5) | 4 (0.5) | 0.003 |
| LVEF $\geq 10\%$ decrease from baseline and to $< 50\%$ * | Any | 8 (2.0) | 3 (0.4) | 0.008 |
| Serious AE | Any | 104 (26.3) | 179 (22.1) | 0.112 |

*AE of special interest

- Overall, higher rates of pCR (ypT0 ypN0) were achieved in HER2+ than in HER2- tumors, with the highest rate in the HER2+/ hormone receptor negative (HER2+/HR-) cohort (Figure 2). A similar pattern of responses was seen using the ypT0/is ypN0 definition for pCR (Figure 2)
- A sensitivity analysis in the HER2+ cohort excluding the window-of-opportunity patients showed a pCR rate of 60.1% (52.5%, 67.8%) with nab-Pac and 52.7% (45.1%, 60.2%) with Pac (p=0.212).

Figure 2. pCR (ypT0 ypN0 or ypT0/is ypN0) by molecular subtypes



- Multivariate regression analysis showed that the only significant predictor of pCR in HER2+ patients was the HR-negative status (OR 2.05 [95% CI 1.23-3.41; p=0.006).
- High-grade toxicities that were significantly more common in HER2+ than in HER2- group (85.4% vs. 78.0%; p=0.003) included anaemia (p=0.034), mucositis/stomatitis/esophagitis (p=0.025), diarrhea (p<0.001), anorexia (p=0.043), maculo-papular rash (p=0.047) and dyspnea (p=0.003).
- As reported previously¹, toxicities and treatment discontinuations were more frequent with nab-Pac than with Pac.
- LVEF decreases from baseline were uncommon, with 2.0% of the HER2+ vs. 0.4% of the HER2- patients showing decreases to $< 50\%$ along with a $\geq 10\%$ decrease from baseline.

Conclusions

This is the largest cohort of patients with HER2-positive early breast cancer receiving a dual HER2-targeted neoadjuvant therapy of pertuzumab and trastuzumab, together with nab-Pac or Pac followed by epirubicin and cyclophosphamide³. Although the HER2+ patients experienced more noteworthy toxicity, this treatment regime has acceptable toxicity and achieved higher rates of pCR in the HER2+ cohort, particularly in the HER+/HR-negative subgroup, supporting its use as part of the neoadjuvant treatment.

References

- Untch M, Jackisch C, Schneeweiss A et al. Nab-paclitaxel versus solvent-based paclitaxel in neoadjuvant chemotherapy for early breast cancer (GeparSepto-GBG 69): a randomised, phase 3 trial. Lancet Oncol. 2016; 17: 345-356.
- Gianni L, Pienkowski T, Im YH et al. Efficacy and safety of neoadjuvant pertuzumab and trastuzumab in women with locally advanced, inflammatory, or early HER2-positive breast cancer (NeoSphere): a randomised multicentre, open-label, phase 2 trial. Lancet Oncol. 2012; 13: 25-32.
- Schneeweiss A, Chia S, Hickish T et al. Pertuzumab plus trastuzumab in combination with standard neoadjuvant anthracycline-containing and anthracycline-free chemotherapy regimens in patients with HER2-positive early breast cancer: a randomized phase II cardiac safety study (TRYPHAENA). Ann Oncol. 2013; 24: 2278-2284.